

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Scott W. Keller)	
Serial No.:	10/676,937)	Examiner: unassigned
Filed:	October 1, 2003)	Group Art Unit: unassigned
Title:	Dual Neutral Start Switches)	No.: 36400.63US1

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form PTO/SB/08a are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(1), within three months of the filing date of this application or before the first Office Action on this application; therefore, no fee is required.

In accordance with 37 C.F.R. §1.98(a)(2)(i), the cited U.S. references are not enclosed due to the Office's recent notice.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08a, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: December <u>19</u>, 2003

Thomas C. McDonough

Registration No. 33,734 NEAL, GERBER & EISENBERG LLP 2 North LaSalle Street, Suite 2200

Chicago, Illinois 60602 (312) 269-5282

Certificate of Mailing: The undersigned hereby certifies that this document and its enclosures are being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this day of December, 2003.

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI U.S. DEPARTMENT OF COMERCI U.S. DEPARTMENT OF COMMERCI U.S. DEPARTMENT OF COMMERCI U.S. DEPARTMENT OF COME

Unae	Paperwork Rec	duction Act of 1995,	no pe	rsons are required to	respond to a collection of informa-	tion unless it contains a valid OMB con	<u>troi number.</u>		
CTIN CASTO	stitute for form 1449	A/PTO			Compl te if Known				
CKAR					Application Number	10/676.937			
10	NFORMAT	ION DISC	LO	SURE	Filing Date	October 1, 2003			
STATEMENT BY APPLICANT					First Named Inventor	Keller			
•					Art Unit	not vet assigned			
	(Use as ma	any sheets as nece	ssary)	Examiner Name	not yet assigned			
Sheet	1		of	2	Attorney Docket Number	36400.63US1			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 3,984,867	10-1976	Jones	· · · · · · · · · · · · · · · · · · ·
		^{US-} 3,999,643	12-1976	Jones	
		US- 5,314,038	05-1994	Peterson	
		^{US-} 5,314,387	05-24-1994	Hauser et al	
		^{US-} 5,436,419	07-1995	Welscher et al	
		^{US-} 5,438,831	08-1995	Okada	
		^{US-} 5,586,955	12-1996	Wanie	
		^{US-} 5,601,512	02-1997	Scag	
		^{US-} 5,743,247	04-1998	Kingsley et al	
		^{US-} 5,994,857	11-1999	Peterson, Jr. et al	
		^{US-} 6,026,634	02-2000	Peter et al	
		^{US-} 6,085,502	07-2000	Wians et al	
		^{US-} 6,105,348	08-2000	Turk et al	
		^{US-} 6,109,009	08-2000	Benson	
		^{US-} 6,109,010	08-2000	Heat et al	
		^{US-} 6,122,996	09-26-2000	Hauser et al	
		^{US-} 6,301,885	10-2001	Johnson et al	
		^{US-} 6,332,393	12-25-2001	Trimble	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code 3-Number 4-Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Y						
						ļ

	AND ADMINISTRATION OF THE PROPERTY OF THE PROP		CALLED TO SEE SECTION OF THE PERSON OF THE P
Examiner		Date	
		Considered	
Signature		Considered	
•			CONTROL OF THE PROPERTY OF THE

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov, or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

TRADED Strute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

C mpl te if Kn wn **Application Number** 10/676,937 Filing Date Octobe 1, 2003 First Named Inventor Keller Art Unit not vet assigned **Examiner Name** not yet assigned Attorney Docket Number

(Use as many sheets as necessary) 36400.63US1 Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,339,916	01-2002	Benson	
-		US- 6.378.300	04-2002	Johnson et al	
		US- 6,405,513	06-2002	Hancock et al	
		^{US-} 6,487,857	12-03-2002	Poplawski et al	
		US- 6,539,713	04-2003	Johnson et al	
		^{US-} 6,568,162	05-2003	Walters	
		us- 6,591,594	07-2003	Hancock et al	
		US- 6,609,357	08-2003	Davis et al	
		^{US-} 6,625,963	09-2003	Johnson	
		US-			

Array or a magnificant resemble	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code 3-Number 4- Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	 					

	PROPERTY AND ADDRESS OF THE PERSON NAMED IN COLUMN	
Examiner	Date	
	Considered	
Signature		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TRADE THE Paperwork Reduction Act of 1995	U.S. Pat	PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 tent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tion of information unless it displays a valid QMB control number.					
AND THE PROPERTY OF THE PROPER	Application Number	10/676,937					
TRANSMITTAL	Filing Date	October 1, 2003					
FORM	First Named Inventor	Scott W. Keller					
(to be used for all correspondence after initial	Art Unit	Unassigned					
	Examiner Name	Unassigned					
Total Number of Pages in This Submission	Attorney Docket Number	36400.63US1					
	ENCLOSURES (Check all th	nat annivi					
	Check air ti	After Allowance communication					
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Ad Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Information Disclosure Statement, Form PTO/SB/08a, and a Return Postcard					
SIGNA	TURE OF APPLICANT, ATTOR	NEY, OR AGENT					
Firm or Individual name	Reg. No. 33,734)						
Signature Thom Chi.	XC						
December 19, 2003							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name Michelle Cook	yped or printed name Michelle Cook						
Signature McClelle Coch Date December 19, 2003							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.